Polynomials and Polynomial Functions

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In these notes, "FIS" is the textbook *Linear Algebra* by Friedberg, Insel, and Spence, 5th edition.

In all my type-set handouts, including these notes, I use the notation $\mathbf{R}$ for the (set of) real numbers, which some students may have been led to believe is "supposed to be" denoted $\mathbb{R}$. If you're curious about this, see "Point of information" at the end of these notes.

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1 Introduction

Polynomials and *polynomial functions*, while closely related, are not quite the same thing. In FIS, this is alluded to briefly (and only implicitly) on p. 10, after a definition of "polynomial" that is actually imprecise. In courses up through calculus, the distinction is not really important, but it becomes important in many higher-level courses.

In abstract algebra, polynomials are regarded as *formal expressions*—not functions—that can be added and multiplied according to certain rules that are *motivated* by the behavior of polynomial *functions*. (In these notes, the rules for multiplying two general polynomials are irrelevant; the only multiplication of relevance to us will be the multiplication of a polynomial by a scalar.) For clarity in this discussion, I'll often refer to these formal expressions as "abstract polynomials", but this is *not* standard terminology.

In the abstract polynomial $1+2x-3x^2$, the letter x is called an *indeterminate*, rather than a variable. We speak of (abstract) polynomials *in a given indeterminate*[1], and the set of all such (abstract) polynomials with coefficients in a specific field[2] is given a name that includes the *name* of the field and the indeterminate. For the set of polynomials in the indeterminate x with real coefficients, the standard notation is higher-level abstract algebra is "$\mathbf{R}[x]$", but in this class I'll use the notation $P(\mathbf{R}; x)$. Similarly I'll use $P_n(\mathbf{R}; x)$ for the set of these polynomials that have degree at most n.

The expressions "$1 + 2x - 3x^2$" and "$1 + 2y - 3y^2$" are abstract polynomials in two different indeterminates, x and y, hence are not the same abstract polynomial. But they

[1] In these notes, "polynomial" means "polynomial in a single indeterminate". (There is such a thing as polynomials in two or more indeterminates; we're just not talking about them here.)

[2] Or with coefficients in something called a *commutative ring*, which is more general than a field. You can think of a commutative ring as a field except for lacking the property that all nonzero elements have a multiplicative inverse. An example is the ring of integers, $\mathbf{Z}$. However, in this class, we address only polynomials with coefficients in a *field*.

determine the same polynomial *function* $f : \mathbf{R} \to \mathbf{R}$, namely $t \mapsto 1 + 2t - 3t^2$. [3] [4]

For the remainder of these notes, the word *polynomial(s)*, when not followed by the word *function(s)*, means *abstract* polynomial(s).

2 Some notation and terminology

To maintain the distinction between polynomials with polynomial *functions*, in these notes we use the notation $P(\mathbf{R}; x)$ for the set of polynomials in the indeterminate x (etc. for any other indeterminate) and $P(\mathbf{R})$ for the set of corresponding polynomial functions (see Section 2.2). For $n \geq 0$, we write $P_n(\mathbf{R}; x)$ for the set of polynomials of degree at most n, in the indeterminate x. When equipped with certain "standard" operations of addition and scalar multiplication, the sets $P(\mathbf{R}; x)$ and $P(\mathbf{R})$ become vector spaces, so we will often refer to these sets as *spaces*. When we call these sets *spaces*, the "standard operations"—which we have not specified yet—are assumed.

There is only *one* space $P(\mathbf{R})$ of polynomial *functions* from $\mathbf{R}$ to $\mathbf{R}$. However, there are *infinitely* many spaces of abstract polynomials, one for every conceivable name we could choose for an indeterminate. For example, since the letter x and letter y are not the same, the spaces $P(\mathbf{R}; x)$ and $P(\mathbf{R}; y)$ are not the same. However, these spaces are "equivalent" in a sense we will not make precise in this version of these notes. For simplicity, in these notes I will use only the space $P(\mathbf{R}; x)$—i.e. when talking about abstract polynomials, I will always use "x" as the indeterminate—except when making comparisons like "$P(\mathbf{R}; x)$ vs. $P(\mathbf{R}; y)$". But anything we state about polynomials in x has an immediate translation into a corresponding statement about polynomials in y or any other indeterminate.

Terminological simplification. As has already been done above, we let ourselves use the term "polynomial in x" for "polynomial in the indeterminate x" (etc. for other indeterminates).

[3] Said another way: having introduced $P(\mathbf{R}; x)$ and $P(\mathbf{R}; y)$—the sets of real-coefficient polynomials in *non-variable* indeterminates specifically denoted x and y—if we then allow ourselves to *change the meaning* of "x" or "y" above to "*dummy variable* used for writing down a formula for function-values," then the functions from $\mathbf{R}$ to $\mathbf{R}$ defined by $x \mapsto 1 + 2x - 3x^2$ and $y \mapsto 1 + 2y - 3y^2$ are *identical*. Be warned that **changing the meaning of notation mid-discussion is generally a bad idea.** But in this instance, this generally-bad idea does a good job of reproducing the *thought process* we're using to associate a polynomial with a function, so *for the purposes of writing down a function determined by a polynomial*, we usually allow this notational flexibility (outside these notes).

[4] In case you're not familiar with the "$\mapsto$" symbol, it's a special arrow used for describing the "rule" part of a function: when the input is the variable to left of the "$\mapsto$" arrow, the output of the function is the expresson to the right of the arrow. The arrow itself is pronounced "goes to" or "maps to". The notation is often very handy; e.g. it lets us describe a function completely without having to choose a *name* for that function (say f) for no reason other than to write a statement like "$f(x) =$ [expression involving x]." All we need to specify the function is a domain, a codomain, and the "[whatever] $\mapsto$ [whatever]" statement.

Remark 2.1 The notation "$P(\mathbf{R}; x)$" is something I've **cooked up** as an alternative to the standard notation "$\mathbf{R}[x]$", just to stay closer to the notation "$P(\mathbf{R})$" that FIS uses for both the space of polynomials functions and the space of polynomials in the indeterminate x.[5] (Until Chapter 5, FIS takes the letter x to be the *fixed* indeterminate for all abstract polynomials, even when the same letter is used for many other things on the same or nearby pages. Many examples and exercises in FIS make no sense without an agreement—which FIS leaves implicit—that "this is always what 'x' means when we're talking about polynomials." This is very similar to the way 'x' is used as the variable for most functions in Calculus 1 and lower-level courses, but it can cause difficulties in our course.) A bonus is that "$P(\mathbf{R}; x)$", like "$P(\mathbf{R})$", is easier to modify than is "$\mathbf{R}[x]$" when we want to incorporate the restriction "of degree at most n" into the notation.

2.1 Notation for individual polynomials

For individual abstract polynomials in x, some textbook-authors use notation of the form "$f(x)$", while others use notation such as "f" that does not incorporate x. The "f" notation is always safe. The "$f(x)$" notation, while occasionally convenient, is safe **only if the author does not use standard notation for functions,** in which "$f(x)$" denotes the output of a function f when the input is x. (Abstract polynomials don't have inputs or outputs.) The FIS textbook uses notation such as f for *most* functions, but uses $f(x)$ for *polynomial* functions, as well as for abstract polynomials. This inconsistency can cause confusion, especially among students who are still getting used to calling functions "f" rather than "$f(x)$".

To avoid this potential confusion, in these notes we opt for *consistency* over what students may find more familiar and comfortable. Notation of the form "$f(x)$" will be used exclusively for *outputs of functions*.[6] Parenthesis-free names will be used for functions and for abstract polynomials.

For example, we will give a name such as f or p (**not** $f(x)$ or $p(x)$) to the abstract polynomial $1 + 2x - 3x^2 \in P(\mathbf{R}; x)$. If we want to talk about the analogous polynomial $1 + 2y - 3y^2 \in P(\mathbf{R}; y)$ at the same time, we need a different name, e.g. q (**not** $q(y)$). If we're interested instead in polynomial *functions* from $\mathbf{R} \to \mathbf{R}$, we could give a name like f to the function $x \mapsto 1+2x-3x^2$, in which case $f(x) = 1+2x-3x^2$, $f(y) = 1+2y-3y^2$, $f(t) = 1 + 2t - 3t^2$, etc. (In the last sentence, we have assumed that x, y, and t have not

[5] Although the book's notation usage of "$P(\mathbf{R})$", and its notation for individual polynomials,' is ambiguous and somewhat misleading, this usage is not uncommon. In a different course, I might not mind the ambiguity, but in MAS4105 I find that it interferes with getting across certain ideas.

[6] Of course, there are other uses of parentheses in mathematics. For example, in "$A(B + C)$" could mean several different things, depending on what A, B, and C are. If these objects are (say) real numbers or algebraic expressions, then "$A(B + C)$" means the product of the numbers/expressions A and $B + C$. But if we are given that A is a *function* whose domain is a set S on which a binary operation "+" is defined, and B and C are elements of S, then $A(B + C)$ means the output of the function A when the input is $B + C$. It is always the writer's responsibility to make sure that, whenever he/she is using parentheses, only one interpretation makes sense.

been set aside as names of indeterminates.) Remenber that for a *function*, **the letter chosen to represent the domain-variable is not part of the name of the function.**

2.2 Functions associated with abstract polynomials

Suppose $p \in P(\mathbf{R}; x)$ is a polynomial. Then, by definition, there is a non-negative integer n (the *degree* of f) and unique numbers $a_0, a_1, a_2, \ldots, a_n$ such that

$$p = a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n, \tag{2.1}$$

and such that, if $n > 0$, then $a_n \neq 0$.[7] **In these notes**, we will use the notation $\hat{p}$ (pronounced "p-hat") for the associated function from $\mathbf{R}$ to $\mathbf{R}$, i.e. the function obtained by replacing the indeterminate x by the domain-variable of a function from $\mathbf{R}$ to $\mathbf{R}$. In other words, $\hat{p} : \mathbf{R} \to \mathbf{R}$ is the function defined by

$$\hat{p}(t) = a_0 + a_1 t + a_2 t^2 + \cdots + a_n t^n. \tag{2.2}$$

Definition 2.2 A function $g : \mathbf{R} \to \mathbf{R}$ is called a *polynomial function* if $g = \hat{p}$ for some $p \in P(\mathbf{R}; x)$. In these notes, $P(\mathbf{R})$ denotes the set of all polynomial functions from $\mathbf{R} \to \mathbf{R}$.

Recall that $\mathcal{F}(\mathbf{R}, \mathbf{R})$ denotes the space of all functions from $\mathbf{R}$ to $\mathbf{R}$. Thus we can also write the definition of $P(\mathbf{R})$ either of the following ways:

$$P(\mathbf{R}) = \{g \in \mathcal{F}(\mathbf{R}, \mathbf{R}) : \text{there exists } p \in P(\mathbf{R}; x) \text{ such that } g = \hat{p}\ \} \tag{2.3}$$

$$= \{\hat{p} \ : \ p \in P(\mathbf{R}; x)\} \ \subseteq \ \mathcal{F}(\mathbf{R}, \mathbf{R}). \tag{2.4}$$

Exercise 2.3 Show that $P(\mathbf{R})$ is a subspace of $\mathcal{F}(\mathbf{R}, \mathbf{R})$.

When we refer to "the vector space $P(\mathbf{R})$" (or simply "the space $P(\mathbf{R})$", the operations are always understood to be the ones inherited from $\mathcal{F}(\mathbf{R}, \mathbf{R})$; i.e. **we are always implicitly regarding $P(\mathbf{R})$ as a subspace of $\mathcal{F}(\mathbf{R}, \mathbf{R})$.**

3 Other differences between polynomials and polynomial functions

In FIS, "polynomial" sometimes means abstract polynomial and sometimes a later section of these Section 4 of these notes, we'll be able to show that these two vector spaces are

[7] For "clarity of pattern", we have included $a_1, a_2, a_1 x$, and $a_2 x^2$ in the preceding sentence. However, a_1 and $a_1 x$ are present only if $n \geq 1$, and a_2 and $a_2 x^2$ are present only if $n \geq 2$.

"essentially the same". However, FIS treats them as *being* the same with no careful justification.[8] In this section we examine some ways in which these spaces are fundamentally different.

Equality

One fundamental difference between (abstract) polynomials and polynomial functions is the definition of *equality*. **By definition**, two abstract polynomials in x, say $p = a_0 + a_1x + \cdots + a_nx^n$ and $q = b_0 + b_1x + \cdots + b_mx^m$, are equal if and only if $n = m$ and $a_i = b_i$ for each $i \in \{0, \ldots, n\}$, but **by definition**, two functions $g, h : \mathbf{R} \to \mathbf{R}$ are equal if and only if $g(t) = h(t)$ for all $t \in \mathbf{R}$. The criteria for equality are not the same.

This begs an important question: is it possible for two different (abstract) polynomials in x—possibly even polynomials of different degree—to have the same associated function in $P(\mathbf{R})$? I.e. for p and $q \in P(\mathbf{R}; x)$, is it possible to have $p \neq q$ and yet have $\hat{p} = \hat{q}$?

Fortunately, the answer to this question is *no*.[9]. One way to see this is that if two infinitely differentiable functions $g, h \in \mathcal{F}(\mathbf{R}, \mathbf{R})$ are equal, then and $g^{(k)}(t) = h^{(k)}(t)$ for all $k \geq 0$ adn all $t \in \mathbf{R}$ (where the superscript "(k)" denotes k^{th} derivative for $k \geq 1$, and where we define $f^{(0)} = f$ for every $f \in \mathcal{F}(\mathbf{R}, \mathbf{R})$). In particular this applies with $g = \hat{p}$ and $h = \hat{q}$, where $p, q \in P(\mathbf{R}; x)$ (since, from Calculus 1, polynomial functions are infinitely differentiable). But if $p = a_0 + a_1x + \cdots + a_nx^n$, then

$$\hat{p}^{(k)}(0) = \begin{cases} k!\, a_k & \text{if } 0 \leq k \leq n, \\ 0 & \text{if } k > n. \end{cases} \tag{3.1}$$

(Recall that 0! is defined to be 1.) Similarly if $q = b_0 + b_1x + \cdots + b_mx^m$, then

$$\hat{q}^{(k)}(0) = \begin{cases} k!\, b_k & \text{if } 1 \leq k \leq m \\ 0 & \text{if } k > m. \end{cases}$$

It follows that if $\hat{p} = \hat{q}$ then $n = m$ and $a_i = b_i$ for each $i \in \{1, \ldots, n\}$, and hence $p = q$.

We record this just-proved fact as the following proposition:

Proposition 3.1 *Let $T : P(\mathbf{R}; x) \to P(\mathbf{R})$ be the function defined by $T(p) = \hat{p}$ (see equations (2.1) and (2.2)). Then T is one-to-one.* ■

Note: If the relation between derivatives and the coefficients in polynomial functions reminds you of Taylor polynomials (or Taylor series), it should! Equation (3.1)

[8] There is a very quiet reference to the issue on p. 10, which refers the reader to p. 564, the *very last page* of the *very last appendix* of the book. Even on p. 564, only *partial* justification for regarding $P(\mathbf{R}; x)$ and $P(\mathbf{R})$ as being "the same" is given.

[9] However, the answer would be "yes" if $\mathbf{R}$ were replaced by a finite field, an object we have not discussed, but that FIS *does* discuss.

implies that we can rewrite p and a formula for $\hat{p}$ as

$$p = \sum_{k=0}^{n} \frac{\hat{p}^{(k)}(0)}{k!} x^k \tag{3.2}$$

$$\text{and} \quad \hat{p}(t) = \sum_{k=0}^{n} \frac{\hat{p}^{(k)}(0)}{k!} t^k \quad \text{for all } t \in \mathbf{R}. \tag{3.3}$$

This just recapitulates something you (should have) learned in Calculus 2: a polynomial function of degree n in x is "its own Taylor polynomial" of degree n, and "its own Taylor series" (since all the Taylor coefficients beyond the degree-n coefficient are 0).[10]

For the remainder of this section, the function $T : P(\mathbf{R}; x) \to P(\mathbf{R})$ is as defined in Proposition 3.1 (the function $p \mapsto \hat{p}$). Also, we will use the notation 0_{fcn} for the zero element of $\mathcal{F}(\mathbf{R}, \mathbf{R})$ (the constant function with value 0).

We have two quick corollaries of Proposition 3.1:

Corollary 3.2 *The only polynomial function that is identically zero is $T(0_{P(\mathbf{R};x)})$. Said another way: if $a_0, a_1, \ldots, a_n \in \mathbf{R}$ are such that*

$$a_0 + a_1 t + a_2 t^2 + \cdots + a_n t^n = 0 \quad \textit{for all } t \in \mathbf{R}, \tag{3.4}$$

then each coefficient a_i is 0.

Proof: Observe that equation (3.4) is the statement that $T(a_0 + a_1 x + \cdots + a_n x^n) = 0_{\text{fcn}}$. But clearly the zero polyomial $0_{P(\mathbf{R};x)}$ satisfies $T(0_{P(\mathbf{R};x)}) = 0_{\text{fcn}}$. By Proposition 3.1, no other polynomial has this property. Thus if (3.4) holds, then all the coefficients a_i must be 0. ■

Corollary 3.3 *The function T in Proposition 3.1 is a bijection from $P(\mathbf{R}; x)$ to $P(\mathbf{R})$.* (Recall that a bijection is function that is both *injective* [one-to-one] and *surjective* [onto].)

Proof: Proposition 3.1 shows that T is one-to-one. The very *definition* of the set $P(\mathbf{R})$ shows that T is onto. (Recall Definition 2.2 or equation (2.3) or (2.4).) ■

Operations

[10] Taylor polynomials and series also have a "base point" or "center point", the c you saw in formulas like "$\sum_{k=0}^{n} \frac{f^{(k)}(c}{k!}(x-c)^k$." We needed only to consider the case $c = 0$ in the proof of Proposition 3.1, but for any $c \in \mathbf{R}$ it's true that if f is a polynomial function of degree n, then $f(t) = \sum_{k=0}^{n} \frac{f^{(k)}(c}{k!}(t-c)^k$.

Note: This subsection is written to be understandable by students who have not yet learned what a *linear transformation* is.

The vector-space operations on $P(\mathbf{R};x)$ and $P(\mathbf{R})$ are also defined quite differently. Whereas the operations on $P(\mathbf{R};x)$ are defined *termwise*[11] while the operations on $P(\mathbf{R})$, a space of functions, are defined *pointwise*[12].

A fact that is key to our (eventual) ability to regard $P(\mathbf{R};x)$ and $P(\mathbf{R})$ as "essentially the same" as that these differently defined operations on the two spaces are *consistent* with each other in a sense made precise in the next proposition:

Proposition 3.4 *(a) For all $p, q \in P(\mathbf{R};x)$, the relation $\widehat{p+q} = \hat{p} + \hat{q}$ holds; i.e.*

$$T(p+q) = T(p) + T(q). \tag{3.5}$$

(b) For all $p \in P(\mathbf{R};x)$ and $c \in \mathbf{R}$, the relation $\widehat{cp} = c\hat{p}$ holds; i.e.

$$T(cp) = cT(p). \tag{3.6}$$

In other words, given $p, q \in P(\mathbf{R};x)$ and $c \in \mathbf{R}$, if we add p and q (or multiply p by a scalar c) in $P(\mathbf{R};x)$ and then form polynomial function associated with the result, we obtain the same function as if we had formed the functions $\hat{p}, \hat{q}$ first and then added them as functions (or multiplied the function $\hat{p}$ by c).

Proof: (a) Let $p, q \in P(\mathbf{R};x)$; without loss of generality assume $\deg(p) \geq \deg(q)$ (where "deg" denotes *degree*) and let $n = \deg(p)$ and $m = \deg(q)$. Let $a_0, \ldots, a_n$ and $b_0, \ldots b_m$ denote the coefficients of p, q respectively, and define $\tilde{b}_i = \begin{cases} b_i & \text{if } 0 \leq i \leq m, \\ 0 & \text{if } m < i \leq n. \end{cases}$ Then $p + q = c_0 + c_1 x + \cdots + c_n x^n$, where $c_i = a_i + \tilde{b}_i$ for each $i \in \{0, 1, \ldots, n\}$. Hence for all $t \in \mathbf{R}$,

$$\begin{aligned} \widehat{p+q}(t) &= (a_0 + \tilde{b}_0) + (a_1 + \tilde{b}_1)t + \cdots + (a_n + \tilde{b}_n)t^n \\ &= \left(a_0 + a_1 t + \cdots + a_n t^n\right) + \left(\tilde{b}_0 + \tilde{b}_1 t + \cdots + \tilde{b}_n t^n\right) \\ &= \left(a_0 + a_1 t + \cdots + a_n t^n\right) + \left(b_0 + b_1 t + \cdots + b_m t^m\right) \quad \text{(by def. of } \tilde{b}_i\text{)} \\ &= \hat{p}(t) + \hat{q}(t). \end{aligned}$$

Hence $\widehat{p+q} = \hat{p} + \hat{q}$.

(b) Let $p \in P(\mathbf{R};x)$, let $c \in \mathbf{R}$, and again write p as $a_0 + a_1 x + \cdots + a_n x^n$. Then $cp = ca_0 + (ca_1) + \cdots + (ca_n)x^n$, so for all $t \in \mathbf{R}$,

$$\widehat{cp}(t) = (ca_0) + (ca_1)t + \cdots + (ca_n)t^n = c(a_0 + a_1 t + \cdots + a_n t^n) = c\,\hat{p}(t) = (c\hat{p})(t).$$

[11] Meaning that for addition, coefficients of like powers of x are added; for scalar multiplication by c, the coefficient of each power of x is multiplied by c.

[12] I.e. by using addition and scalar-multiplication of function *values* (outputs) at each point of the domain (each input).

Hence $\widehat{cp} = c\,\hat{p}$. (Exercise: Give a precise justification for each of the equalities in the preceding argument.)

Linear Independence of Monomials

In $P(\mathbf{R};x)$, the elements $1, x, x^2, x^3, \ldots$ are called *monomials*. We define the notation "x^0" to mean 1, and define x^1 to mean x, so that every monomial can be denoted x^j for some integer $j \geq 0$. If $a_0, a_1, \ldots a_n \in \mathbf{R}$ and $a_0 + a_1 x + \cdots + a_n x^n = 0_{P(\mathbf{R};x)}$, then *by definition of equality* in $P(\mathbf{R};x)$, all the scalars a_i must be 0. Hence, practically *by definition*, in $P(\mathbf{R};x)$ the *monomials are linearly independent*. (More precisely, the set of monomials, $\{x^j : j \geq 0\}$, is linearly independent.)

However, in $P(\mathbf{R})$, we cannot simply *define* the corresponding set of functions $M := \{f_j := T(x^j) : j \geq 0\}$ to be linearly independent. Since $P(\mathbf{R})$ is a subspace of $\mathcal{F}(\mathbf{R},\mathbf{R})$, a subset $S \subseteq P(\mathbf{R})$ is linearly independent if and only if S is linearly independent *as a subset of* $\mathcal{F}(\mathbf{R},\mathbf{R})$. Thus, the given subset M of $P(\mathbf{R})$ either is linearly independent or it is not; we can't simply declare M to be linearly independent *by definition*. Linear dependence/independence of M is something that has to be *proven*:

Proposition 3.5 *For $j \geq 0$, let $f_j = T(x^j) \in P(\mathbf{R})$. Then the set $\{f_j : j \geq 0\}$ is linearly independent.*

Proof: Suppose that $j_1, \ldots, j_n$ are distinct non-negative integers, and $c_1, \ldots, c_n$ are scalars, such that $c_1 f_{j_1} + \cdots + c_n f_{j_n} = 0_{\text{fcn}}$. Let N be the largest of the integers j_i, and define $a_0, \ldots a_N \in \mathbf{R}$ by

$$a_k = \begin{cases} c_{j_i} & \text{if } k = j_i \\ 0 & \text{if } k \notin \{j_1, \cdots j_n\}. \end{cases}$$

Then $0_{\text{fcn}} = c_1 f_{j_1} + \cdots + c_n f_{j_n} = a_0 f_0 + a_1 f_1 + \cdots + a_N f_N$ (the function $t \mapsto a_0 + a_1 t + \cdots + a_N t^N$). (In other words: for any $k \in \{0, \ldots, N\}$ that is not one of the j_i, we have assigned a coefficient of 0 to the corresponding power-function. For any k that *is* one of the j_i, we have kept the corresponding coefficient c_i as a_{j_i}, the coefficient of the corresponding power function.) By Corollary 3.2, each coefficient a_k is 0 ($0 \leq k \leq N$), and hence so is each coefficient c_i ($1 \leq i \leq n$).

Hence $\{f_j : j \geq 0\}$ is linearly independent. ■

$P(\mathbf{R})$ definable more than one way

We defined the subset $P(\mathbf{R}) \subseteq \mathcal{F}(\mathbf{R},\mathbf{R})$ as the the set of functions that could be written as $\hat{p}$ for some $p \in P(\mathbf{R};x)$. An alternative definition of the same subset of $\mathcal{F}(\mathbf{R},\mathbf{R})$ is

$$\tilde{P}(\mathbf{R}) = \{f \in \mathcal{F}(\mathbf{R},\mathbf{R}) : f \text{ is infinitely differentiable and } f^{(k)} = 0_{\text{fcn}} \text{ for some } k \geq 1\}. \tag{3.7}$$

(Here, as earlier in this section, $f^{(k)}$ denotes the k^{th} derivative of f.) By contrast, the space $P(\mathbf{R}; x)$ of abstract polynomials has no corresponding, equally elementary, alternate definition.[13]

We leave it as an exercise for the student to show that, indeed, $\tilde{P}(\mathbf{R}) = P(\mathbf{R})$.

The characterization of $P(\mathbf{R})$ as the set in (3.7) also leads to an alternate definition of *degree* of a polynomial function: for $f \in P(\mathbf{R})$, we can define the degree of f to be the smallest $k \geq 0$ for which $f^{(k+1)} = 0_{\text{fcn}}$.

Aspects of polynomial *functions* that have no analog for abstract polynomials

The fact that the real line is the domain of every function in $P(\mathbf{R})$ has many significant consequences, many of which stem from the fact that $\mathbf{R}$ is an *ordered* set: for any two distinct $x_1, x_2 \in \mathbf{R}$, either $x_1 < x_2$ or $x_1 > x_2$ (inequalities that are meaningless if x is just some absract symbol without a numerical interpretation). Among these are:

1. The concept of *limit at a point* ($\lim_{t \to t_0} f(t)$ for a given $t_0 \in \mathbf{R}$) and *limit at infinity* (or at *minus infinity*) make sense for every functon $f \in \mathcal{F}(\mathbf{R}, \mathbf{R})$ (whether or not the limits exist), hence for every $f \in P(\mathbf{R})$. So do limit-based concepts such as continuity and differentiability (we already saw consequences of this in the proof of Proposition 3.1 but not for *any* $f \in P(\mathbf{R}; x)$. (We can *define* a linear map $D : P(\mathbf{R}; x) \to P(\mathbf{R}; x)$ that we *call* differentiation (or *formal differentiation*) by taking D to be the unique linear map from $P(\mathbf{R}; x) \to P(\mathbf{R}; x)$ for which $D(1) = 0$ and $D(x) = 1$, and $D(x^n) = nx^{n-1}$ for all integers $n > 1$,

   Furthermore, for every $f \in P(\mathbf{R})$ and $t_0 \in \mathbf{R}$, the limit $\lim_{t \to t_0} f(t_0)$ exists, $\lim_{t \to \infty} f(t)$ and $\lim_{t \to -\infty} f(t)$ exist in the extended reals ($\mathbf{R} \cup \{\infty\} \cup \{-\infty\}$), and unless f is constant, $|\lim_{t \to \infty} f(t)| = |\lim_{t \to -\infty} f(t)| = \infty$. There are no analogs of this in $P(\mathbf{R}; x)$.

2. Since polynomial functions are continuous, the Intermediate Value Theorem applies to them. For abstract polynomials, even the notion of "intermediate value" (or of *any* value) makes no sense.

3. Theorems from calculus, such as Rolle's Theorem and the (more general) Mean Value Theorem apply to polynomial functions, but make no sense for abstract polynomials. T

4. The concept of "f has a relative extremum (i.e. maximum or minimum)" at $t_0 \in \mathbf{R}$ makes sense for polynomial functions, but not for abstract polynomials.

[13] There *is* an analogous alternate definition, but it is not equally simple; in place of infinitely differentiable functions $f \in \mathcal{F}(\mathbf{R}, \mathbf{R})$, it involves a type of object and a vector space that are generally is not mentioned in a course on linear algebra: *formal power series* and the *space of formal power series*. You are welcome to look these up, but I don't recommend it unless you are finding your linear algebra course to be *very easy*. Otherwise the level of abstraction is likely to leave you dazed, and it won't help you with the linear algebra you're trying to learn for the first time.

5. For any interval $I \subseteq \mathbf{R}$ concepts like "f is positive/negative on I," "f increasing/decreasing on I" and "[the graph of] f is concave up/down on I" make sense for polynomial functions, but not for abstract polynomials. ("Make sense" does not mean that these properties *hold* on on every interval; it just means that the concept is *meaningful*, and is either true or false for a given polynomial function on a given interval). Similarly, concepts like "this portion of the graph is concave up/down" make sense (and are true on every interval between points $t_0 \in \mathbf{R}$ at which $f'(t_0) = 0$) for polynomial functions, but not for abstract polynomials.

4 How $P(\mathbf{R})$ and $P(\mathbf{R}; x)$ are "essentially the same" as vector spaces

Note: This section assumes that the reader does know what a *linear transformation* is.

In linear algebra, two vector spaces that may be very different *sets* are thought of as being "'essentially the same' *as vector spaces*" if they are *isomorphic*.

An isomorphism from a vector space V to a vector space W is a bijective linear map $T : V \to W$. (Recall that a function $f : A \to B$ is *invertible*, has an inverse function $f^{-1} : B \to A$, if and only if f is bijective. Hence we can replace "bijective" by "invertible" in the above definition of "isomorphism".) We say that V *is isomorphic to* W if an isomorphism from V to W exists.

One of the first properties that one generally proves of isomorphisms is that if T is an isomorphism, then the inverse function T^{-1} is linear, and hence is an isomorphism as well. Hence, for any vector spaces V and W, if there exists an isomorphism from V to W, then there exists an isomorphism from W to V, and we may say unambiguously that the two vector spaces are *isomorphic* to each other.

Proposition 4.1 *The function $T : P(\mathbf{R}; x) \to P(\mathbf{R})$ defined by $T(p) = \hat{p}$ (see equations (2.1) and (2.2)) is an isomorphism, and hence $P(\mathbf{R}; x)$ and $P(\mathbf{R})$ are isomorphic.*

Proof: Proposition 3.4 shows that T is linear, while Corollary 3.3 shows that T is bijective. Hence T is an isomorphism. ■

Thus, despite the numerous differences between *abstract polynomials* and *polynomial functions*, the spaces $P(\mathbf{R}; x)$ and $P(\mathbf{R})$ are "essentially the same" **as vector spaces**, even though they are not even they differ in other significant ways, and are not the same *set*.

Remark 4.2 Some vector spaces are not just isomorphic to each other, they are "naturally" isomorphic to each other, in the sense that there is a very obvious isomorphism from one space to the other, an isomorphism that the particular vector spaces themselves practically "suggest" to us. The spaces $P(\mathbf{R}; x)$ and $P(\mathbf{R})$ are (together) an example of *naturally isomorphic* (also called *canonically isomorphic*) to each other. The map "$p \mapsto \hat{p}$" obtained by replacing the indeterminate with the domain-variable of a function, is an example of a *natural* isomorphism, being suggested (in this case) by the very notation "$a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n$" used for an abstract polynomial in the indeterminate x.

Similarly, the space of polynomials in a given indeterminate, say x, is naturally isomorphic to the space of polynomials in any other indeterminate, say t: there is an

"obvious" map from $P(\mathbf{R}; x)$ to $P(\mathbf{R}; t)$, given by replacing every occurrence of the indeterminate x with the indeteminate t, and this map is an isomorphism.

By contrast, if we consider (say) an arbitrary two-dimensional subspace V of $\mathbf{R}^3$ (visualize this as a "tilted plane" through the origin, not parallel to any of the coordinate planes), we know that V is isomorphic to $\mathbf{R}^2$ because we've proven (elsewhere) that all two-dimensional vector spaces are isomorphic to each other. But to write down an isomorphism from V to $\mathbf{R}^2$ we need to *choose an ordered basis* $\beta = \{v_1, v_2\}$ of V. There is then a unique linear map $T : V \to \mathbf{R}$ that sends β to the standard ordered basis $\{\mathbf{e}_1, \mathbf{e}_2\}$ of $\mathbf{R}^2$ (i.e. for which $T(v_1) = \mathbf{e}_1$ and $T(v_2) = \mathbf{e}_2$), and this map is an isomorphism. But there's nothing about V that *singles out* this isomorphism to $\mathbf{R}^2$; there's no "standard" or "most natural" basis for V, and each *choice* of basis gives rise to a different isomorphism from V to $\mathbf{R}^2$.

Point of information: There is no such thing as the correct, or official, notation for the (set of) real numbers. For most of the 20th century, the most common convention was, "Use $\mathbf{R}$ in type-set mathematics; use $\mathbb{R}$ at the blackboard." There was a similar convention for the complex numbers $\mathbf{C}$, the rational numbers $\mathbf{Q}$, the integers $\mathbf{Z}$, and the natural numbers $\mathbf{N}$. The font you see in $\mathbb{R}, \mathbb{C}, \mathbb{Q}, \mathbb{Z}$, and $\mathbb{N}$, called *blackboard bold*, was invented for use *at the blackboard*¡ because boldface can't really be achieved with chalk. Over time, teachers stopped communicating why blackboard-bold exists, and some started to use it in type-set materials. More and more students thus grew up thinking that $\mathbb{R}$ was the official notation for the reals. When some of these students became teachers and textbook-writers themselves, "$\mathbb{R}$" began to appear in textbooks. Nowadays, in printed mathematics, both $\mathbf{R}$ and $\mathbb{R}$ are commonly used for the reals.

The FIS use of "F" for a general field, rather than $\mathbf{F}$ or $\mathbb{F}$", and similar use of just-plain R for the reals and C for the complex numbers, is not *wrong*; it's just not very common. I find that it has several drawbacks that make it an unfortunate choice for this textbook. One is that it takes F, R, and C out of circulation as symbols that could be used with other, temporary meaniings. For example, "F" is a letter that's often used (outside FIS) as the name of some temporarily introduced function; you've probably seen it used that way in the Fundamental Theorem of Calculus. Another drawback of using plain-italic font for the fields above is that, for many students, seeing a mild-mannered R (e.g.) instead of an $\mathbf{R}$ or $\mathbb{R}$ that jumps out at them, is a notational hurdle; the momentary but numerous efforts needed for students to keep reminding themselves what this "R" means is a distraction from focusing on *concepts and objects* that are new to them.