

**Pullbacks of vector bundles and connections**

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**1 Homomorphisms of vector bundles over possibly different manifolds**

Let  $E \xrightarrow{\pi} M$  and  $E' \xrightarrow{\pi'} N$  be vector bundles over manifolds  $M, N$  respectively. A *vector-bundle homomorphism* (also called simply a *bundle homomorphism*, *homomorphism*, or, more ambiguously, a *bundle map*<sup>1</sup>) is a smooth map  $F : E' \rightarrow E$  that carries each fiber of  $E'$  linearly into a fiber of  $E$  (not necessarily injectively or surjectively). Given any such  $F$  and any  $p \in N$ , the image  $\pi(F(E'_p))$  is a unique point in  $M$ , so we may define a function  $f : N \rightarrow M$  by  $f(p) = \pi(F(E'_p))$ , yielding the commutative diagram in Figure 1.

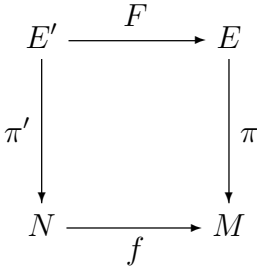


Figure 1: *Homomorphism of vector bundles.* The diagram above commutes. For each  $p \in N$ , the map  $F|_{E'_p} : E'_p \rightarrow E_{f(p)}$  is linear.

We say that the bundle map  $F : E' \rightarrow E$  *covers* the map  $f : N \rightarrow M$ .

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<sup>1</sup>The term “bundle map” can be applied to general fiber bundles, not just vector bundles. “Homomorphism” is used only when there is some algebraic structure preserved by a map.

Temporarily letting  $s : N \rightarrow E'$  denote the zero-section of  $E'$  (i.e.  $s(p) = 0_{E'_p}$  for all  $p \in N$ ), observe that  $f = \pi \circ F \circ s$ , a composition of smooth maps. Hence the map  $f : N \rightarrow M$  covered by the bundle-map  $F$  is itself smooth.

The most common vector-bundle homomorphisms are those of *constant rank*, i.e. those for which  $\text{rank}(F|_{E'_p})$  is the same for all  $p \in N$ . Among these, the most important are *monomorphisms* and *epimorphisms*, those bundle homomorphisms  $F : E' \rightarrow E$  such that for all  $p \in N$ , the linear map  $F|_{E'_p} : E'_p \rightarrow E_{f(p)}$  is, respectively, injective or surjective. Note that in each of these cases, the covered map  $f$  need not be injective or surjective; the injectivity/surjectivity refers purely to the *fiberwise* behavior of  $F$ .

The term *isomorphism* (of vector bundles) is author-dependent: all authors require a bundle isomorphism  $F$  to carry fibers isomorphically to fibers, but some authors (including me) tend not to use the term *isomorphism* unless, additionally,  $F$  covers the identity map (i.e. the case in which  $N = M$  and  $f = \text{id}_M$ ).

## 2 Pullbacks of vector bundles

Informally, we may think of a rank- $k$  vector bundle over a manifold  $M$  as a “smoothly parametrized” collection of  $k$ -dimensional vector spaces  $\{E_q\}_{q \in M}$ ; the parameter-space is  $M$ . The definition of *vector bundle* gives precise meaning to “smoothly parametrized”: existence of a vector-bundle atlas for the set  $E = \coprod_{q \in M} E_q$ .

Given manifolds  $M$  and  $N$ , a rank- $k$  vector bundle  $E \xrightarrow{\pi} M$ , and  $f : N \rightarrow M$  be a smooth map, the collection of vector spaces  $\{E_{f(p)}\}_{p \in N}$  is again a collection of  $k$ -dimensional vector spaces, but now parametrized by  $N$  rather than  $M$ . Intuitively, we ought to be able to think of this collection as being “smoothly parametrized”, since the map  $F$  is smooth and the set  $E$  is a “smoothly parametrized” collection of vector spaces. In other words, the set

$$\coprod_{p \in N} E_{f(p)} \tag{2.1}$$

*ought* to carry a natural vector-bundle structure (with base-space  $N$ ), induced by the smooth map  $f$  and the bundle structure of  $E$ .

This intuition is correct. The resulting vector bundle over  $N$  is called the *pullback of  $E$  by  $f$* , denoted  $f^*E$ .

**Remark 2.1** When we write “ $E = \coprod_{p \in M} E_p$ ”, the disjoint-union symbol is just a reminder that the fibers  $E_p$  are mutually disjoint;  $\coprod_{p \in M} E_p = \bigcup_{p \in M} E_p$ . But since a general map  $f : N \rightarrow M$  need not be one-to-one, the disjoint-union symbol in “ $\coprod_{p \in N} E_{f(p)}$ ” has a different meaning: rather than asserting that  $E_{f(p_1)} \cap E_{f(p_2)} = \emptyset$  (a false assertion if there are distinct points  $p_1, p_2 \in N$  such that  $f(p_1) = f(p_2)$ ), the notation means that for a given  $q \in M$ , we are associating a separate copy of  $E_q$  to each  $p \in f^{-1}(q)$ , and retaining the label  $p$  for the copy that arose from  $p$ .

This labeling is equivalent to the statement that, as a set, “ $\coprod_{p \in N} E_{f(p)}$ ” implicitly means either  $\coprod_{p \in N} (\{p\} \times E_{f(p)})$ , a union of pairwise-disjoint subsets of  $N \times E$ , or  $\coprod_{p \in N} (E_{f(p)} \times \{p\})$ , a union of pairwise-disjoint subsets of  $E \times N$ . To do the concrete constructions of  $f^*E$  below, we have to choose either the “ $N \times E$ ” meaning of the labeling or the “ $E \times N$ ” meaning. It is customary to use the “ $N \times E$ ” meaning, as we do in the constructions below.

The bundle  $f^*E$  can be defined in several equivalent ways. More precisely, there are several constructions of “models” for  $f^*E$  that are not all identical (e.g. some may be different as point-sets) but are all canonically isomorphic to each other. Although the models are equivalent, some directly yield insights into a particular concept that are less apparent with other models.

We give two closely-related constructions of  $f^*E$  (actually the same construction from two different points of view) below. The first construction is faster, while the second gives some geometric insight into *pulled-back connections*, the topic of Section 3.

Below, we assume we have been given  $M$  and  $N$ , a rank- $k$  vector bundle  $E \xrightarrow{\pi} M$ , and a smooth map  $f : N \rightarrow M$ .

### Construction 1 of $f^*E$ .

First observe that there is a 1-1 correspondence

$$\coprod_{p \in N} E_{f(p)} \longleftrightarrow \coprod_{p \in N} (\{p\} \times E_{f(p)}) \subset N \times E \quad (2.2)$$

$$= \{(p, v) \in N \times E : f(p) = \pi(v)\}. \quad (2.3)$$

We take the RHS of (2.3) to be the definition of  $f^*E$  as a *set*. It is not hard to show that  $f^*E$  is a submanifold of  $N \times E$ , of dimension  $\dim(N) + k$ .

Let  $\text{proj}_1 : N \times E \rightarrow N$  and  $\text{proj}_2 : N \times E \rightarrow E$  denote the projections onto the first and second factors, respectively, of the Cartesian product. Define maps  $\pi' : f^*E \rightarrow N$  and  $\tilde{f} : f^*E \rightarrow E$  by  $\pi' = \text{proj}_1|_{f^*E}$  and  $\tilde{f} = \text{proj}_2|_{f^*E}$ .

Observe that, for  $p \in N$ ,

$$\begin{aligned} (f^*E)_p &:= (\pi')^{-1}(p) \\ &= \{p\} \times \{v \in E : f(p) = \pi(v)\} \\ &= \{p\} \times E_{f(p)}, \end{aligned}$$

so  $\tilde{f}|_{(f^*E)_p}$  is a bijection  $(f^*E)_p \rightarrow E_{f(p)}$ . The vector-space structure on  $E_{f(p)}$ , together with the bijection  $\tilde{f}|_{(f^*E)_p} : (f^*E)_p \rightarrow E_{f(p)}$ , canonically induces a vector-space structure on  $E_{f(p)}$ . The map  $\tilde{f}|_{(f^*E)_p} : (f^*E)_p \rightarrow E_{f(p)}$  then becomes an isomorphism.

Given any vector-bundle atlas  $\mathcal{V} := \{(V_\alpha, \psi_\alpha)\}_{\alpha \in A}$  for  $E$ , let  $V'_\alpha = f^{-1}(V_\alpha)$ , and for  $p \in V'_\alpha$  and  $v' \in (\pi')^{-1}(p)$  define  $\psi'_\alpha(v') = (p, \psi_{\alpha, f(p)}(\tilde{f}(v')))$ . (Recall that for

$q \in V$ , the map  $\psi_{\alpha,q} : E_q \rightarrow \mathbf{R}^k$  is defined by  $\psi_{\alpha}(v) = (q, \psi_{\alpha,q}(v))$ .) It is straightforward to check that  $\mathcal{V}' = \{(V'_\alpha, \psi'_\alpha)\}_{\alpha \in A}$  is a vector-bundle atlas for  $f^*E$ , and hence that  $f^*E$  is, indeed, a vector bundle over  $N$  with projection-map  $\pi'$ . Moreover, the map  $\tilde{f} : \tilde{f}^*E \rightarrow E$  is a bundle homomorphism covering  $f$ , and restricts to an isomorphism  $(f^*E)_p \rightarrow E_{f(p)}$  for each  $p \in N$ . We will denote the inverse of the latter isomorphism as

$$\tilde{f}_p^\sharp : E_{f(p)} \rightarrow (f^*E)_p . \quad (2.4)$$

(See Figure 2.)

$$\begin{array}{ccc}
 E' = f^*E & \xrightarrow{\tilde{f}} & E \\
 \pi' \downarrow & \text{iso. on fibers} & \downarrow \pi \\
 N & \xrightarrow{f} & M
 \end{array}
 \qquad
 \begin{array}{ccc}
 E'_p = (f^*E)_p & \xleftarrow{\tilde{f}_p^\sharp = (\tilde{f}|_{E'_p})^{-1}} & E_{f(p)} \\
 \pi' \downarrow & & \downarrow \pi \\
 \{p\} & \xrightarrow{f} & \{f(p)\}
 \end{array}$$

Figure 2: *Pulled-back vector bundle.* Each of the above diagrams commutes. The pulled-back vector bundle  $E' = f^*E$  comes equipped with a bundle homomorphism  $\tilde{f} : E' \rightarrow E$  that, for each  $p \in N$ , restricts to an isomorphism  $E'_p \rightarrow E_{f(p)}$ .

[End of Construction 1.]

**Remark 2.2** When  $f : N \rightarrow M$  is a *diffeomorphism*, there is a model of  $f^*E$  that is simpler than the one above. Given such a diffeomorphism, if we define  $E' = E$  and  $\pi' := f^{-1} \circ \pi$ , then  $E'$  is a vector bundle over  $N$ , whose fiber at  $p \in N$  is  $\pi'^{-1}(p) = (\pi^{-1} \circ f)(p) = \pi^{-1}(f(p)) = E_{f(p)}$ . More generally, for any set  $U \subset N$ , we have  $\pi'^{-1}(U) = \pi^{-1}(f(U))$ , so for any  $V \subset N$  we have  $\pi'^{-1}(f^{-1}(V)) = \pi^{-1}(V)$ . Hence if  $\{(V_\alpha, \psi_\alpha)\}_{\alpha \in A}$  is a vector-bundle atlas for  $E$ , then  $\{(f^{-1}(V_\alpha), \psi_\alpha)\}$  is a vector-bundle atlas for  $E'$ . This bundle  $E'$  is canonically isomorphic to  $f^*E$ : if we define  $H : E' = E \rightarrow f^*E$  by

$$H(v) = (\pi(v), v) \in \{\pi(v)\} \times E'_p = \{\pi(v)\} \times E_{f(\pi(v))} = (f^*E)_{\pi(v)} ,$$

then  $H$  is a bundle homomorphism covering  $\text{id}_N$  and restricting to an isomorphism on each fiber. However, the underlying point-set of  $E'$  is *literally*  $\coprod_{p \in N} E_{f(p)}$  rather than the set  $\coprod_{p \in N} \{p\} \times E_{f(p)}$  that is in natural 1-1 correspondence with  $\coprod_{p \in N} E_{f(p)}$  (cf. (2.2)).

**Construction 2.** Let  $\tilde{E} = N \times E$ , define  $\tilde{\pi} : \tilde{E} = N \times E \rightarrow N \times M$  by  $\tilde{\pi}(p, v) = (p, \pi(v))$ ; i.e.  $\tilde{\pi} = \text{id}_N \times \pi$ .

We claim that  $\tilde{E} \xrightarrow{\tilde{\pi}} N \times M$  “is” a rank- $k$  vector bundle; i.e. that naturally carries the structure of a rank- $k$  vector bundle. To establish this, again define  $\text{proj}_2 : N \times E \rightarrow E$  by  $\text{proj}_2(p, v) = v$ . Then

$$\tilde{E}_{(p,q)} := \tilde{\pi}^{-1}(p, q) = \{p\} \times E_q \quad (2.5)$$

for all  $(p, q) \in N \times M$ . For each  $p \in N$ , the map  $\text{proj}_2|_{\{p\} \times E_q} : \{p\} \times E_q = \tilde{E}_{(p,q)} \rightarrow E_q$  is a bijection. This bijection, combined with the vector-space structure on  $E_q$ , defines a vector-space structure on  $\tilde{E}_{(p,q)}$ , making  $\text{proj}_2|_{\tilde{E}_{(p,q)}} : \tilde{E}_{(p,q)} \rightarrow E_q$  an isomorphism.

Next, let  $\overline{\text{proj}}_1 : N \times M \rightarrow N$  denote the map  $(p, q) \mapsto p$ . For any set  $V \subset M$ , observe that  $\tilde{\pi}^{-1}(N \times V) = N \times \pi^{-1}(V)$ . Given a vector-bundle chart  $(V, \psi)$  of  $E$ , let  $V' = N \times V$  and define

$$\psi' : \tilde{\pi}^{-1}(N \times V) = N \times \pi^{-1}(V) \rightarrow N \times (V \times \mathbf{R}^k) = (N \times V) \times \mathbf{R}^k$$

by  $\psi' = \text{id}_N \times \psi : N \times \pi^{-1}(V) \rightarrow (N \times V) \times \mathbf{R}^k$ . It is easily seen that  $(V', \psi')$  is a vector-bundle chart for  $\tilde{E}$ . Applying the same procedure to each chart in a vector-bundle atlas  $\mathcal{V} := \{(V_\alpha, \psi_\alpha)\}_{\alpha \in A}$  for  $E$ , we obtain a collection of vector-bundle charts  $\mathcal{V}' := \{(V'_\alpha, \psi'_\alpha)\}_{\alpha \in A}$  for  $\tilde{E}$ , and the smooth-overlap condition for  $\mathcal{V}'$  is easily checked. Hence  $\mathcal{V}'$  is a vector-bundle atlas for  $\tilde{E}$ , and  $\tilde{E}$  is a vector bundle over  $N \times M$ .

Now define  $F : N \rightarrow N \times M$  by  $F(p) = (p, f(p))$ . The image of  $F$  is precisely the graph of  $f$ , a submanifold of  $N \times M$ . Note that  $F$ , viewed as a map  $\hat{F} : N \rightarrow F(N)$ , is a diffeomorphism; its inverse is  $\text{proj}_1|_{F(N)}$ . As is easily seen, the restriction of any vector bundle to a submanifold  $Z$  of the base-space is a vector bundle over  $Z$ . In particular,  $\tilde{E}|_{F(N)}$  is a vector bundle over  $F(N)$ , with projection  $\tilde{\pi}$  (restricted to  $\tilde{E}|_{F(N)}$ ).

Since  $\hat{F}$  is a diffeomorphism, we can identify  $\tilde{E}|_{F(N)}$  with a vector bundle over  $N$  whose projection-map is  $\pi' = \text{proj}_1 \circ \tilde{\pi} : \tilde{E}|_{F(N)} \rightarrow N$ , as in Remark 2.2. (See Figure 3.)

For each  $p \in N$ ,

$$\begin{aligned} (f^*E)_p &= (\tilde{\pi}|_{\tilde{E}|_{F(N)}})^{-1} ((\text{proj}_1|_{F(N)})^{-1}(p)) \\ &= \tilde{\pi}^{-1}(F(p)) \\ &= \tilde{\pi}^{-1}(p, f(p)) \\ &= \{p\} \times E_{f(p)} \quad (\text{recall (2.5)}). \end{aligned}$$

Thus, as in Construction 1, the fiber  $(f^*E)_p$  is canonically (and isomorphically) identified with  $E_{f(p)}$ .

[End of Construction 2.]

$$\begin{array}{ccc}
\tilde{E}|_{F(N)} & = & f^*E \\
\downarrow \tilde{\pi}|_{\tilde{E}|_{F(N)}} & & \downarrow \\
F(N) & & \pi' = \text{proj}_1|_{F(N)} \circ \tilde{\pi}|_{\tilde{E}|_{F(N)}} \\
\downarrow \text{proj}_1|_{F(N)} & & \downarrow \\
N & = & N
\end{array}$$

Figure 3: Second construction of  $f^*E \xrightarrow{\pi'} N$ .

**Remark 2.3** As seen in both constructions, there is a natural bundle map  $\tilde{f} : f^*E \rightarrow E$ . But for general maps  $f : N \rightarrow M$ , there is no natural bundle map from  $E$  to  $f^*E$ . However, on the level of sections, there *is* a natural linear map  $f^\sharp : \Gamma(E) \rightarrow \Gamma(f^*E)$  (a pullback map on sections) defined by

$$(f^\sharp s)(p) = f_p^\sharp(s(f(p))) \quad (2.6)$$

(see (2.4)). It is often convenient to leave  $f_p^\sharp$  implicit in expressions like the RHS of (2.6), and to implicitly identify  $(f^*E)_p$  with  $E_{f(p)}$ . If we do this, then the pullback-equation (2.6) becomes simply  $f^\sharp s = s \circ f$ , the familiar formula for pullback of functions.

### 3 Pulled-back connections

Assume we have been given manifolds  $M$  and  $N$ , a rank- $k$  vector bundle  $E \xrightarrow{\pi} M$ , and a smooth map  $f : N \rightarrow M$ . Let  $\tilde{E} \xrightarrow{\tilde{\pi}} N \times M$  and other notation be as in ‘‘Construction 2’’ in the previous section. Each  $p \in N$  determines an inclusion map  $j_p : M \hookrightarrow N \times M$  with image  $\{p\} \times M$  (the map  $q \mapsto (p, q)$ ). Similarly, each  $q \in M$  determines an inclusion map  $\iota_q : N \hookrightarrow N \times M$  with image  $N \times \{q\}$  (the map  $p \mapsto (p, q)$ ). Specifically, these maps are defined by

$$j_p(q) = (p, q) = \iota_q(p) \quad \text{for all } p \in N, q \in M.$$

A connection  $\nabla$  on  $E$  naturally determines a connection  $\tilde{\nabla}$  on  $\tilde{E}$ , as follows. Let  $s \in \Gamma(\tilde{E})$  (the space of sections of  $\tilde{E}$ ). For each  $p \in N$ , define a section  $j_p^*s \in \Gamma(E)$  by  $j_p^*s = \text{proj}_2 \circ s \circ j_p$ . More visually,  $j_p^*s : M \rightarrow E$  is the map

$$q \in M \mapsto s(p, q) \in \tilde{E}_{(p,q)} = \{p\} \times E_q \mapsto \text{proj}_2(s(p, q)) \in E_q .$$

For each  $q \in M$ , define a function  $\iota_q^* s : N \rightarrow E_q$  by  $\iota_q^* s = \text{proj}_2 \circ s \circ \iota_q$ . More visually,  $\iota_q^* s$  is the map

$$p \in N \longmapsto s(p, q) \in \tilde{E}_{(p,q)} = \{p\} \times E_q \longmapsto \text{proj}_2(s(p, q)) \in E_q .$$

Note that for each  $p \in N$ ,  $q \in M$ , the function  $\iota_q^* s$  takes its values in the *fixed*— $k$ -dimensional vector space  $E_q$ . For each while for  $p \in N$ , the object  $j_p^* s$  is a more complicated object: a section of the vector bundle  $E$ .

For  $(p, q) \in N \times M$ , the tangent space  $T_{(p,q)}(N \times M)$  may be canonically identified with  $T_p N \oplus T_q M$ . We use this to write a general element of  $T_{(p,q)}(N \times M)$  as  $(X_p, Y_q)$ , where  $X_p \in T_p N$  and  $Y_q \in T_q M$ . For  $(X_p, Y_q) \in T_{(p,q)}(N \times M)$ , define

$$\tilde{\nabla}_{(X_p, Y_q)} s := X_p(\iota_q^* s) + \nabla_{Y_q} j_p^* s \quad (3.7)$$

In equation (3.7), “ $X_p(\iota_q^* s)$ ” denotes the ordinary directional-derivative, in the direction  $X_p$  at  $p \in N$ , of the function  $\iota_q^* s : N \rightarrow E_q$ , an ordinary vector-valued function on  $N$  (taking values in the *single* vector space  $E_q$ ). Note that both summands on the RHS of (3.7) lie in the vector space  $E_q = \tilde{E}_{(p,q)}$ , so  $\tilde{\nabla}_{(X_p, Y_q)} s$  lies in  $\tilde{E}_{(p,q)}$  as well.

**Exercise 3.1** Check that equation (3.7) defines a connection  $\tilde{\nabla}$  on  $\tilde{E}$ .

Now consider a section  $s \in \Gamma(f^* E)$ . Using the diffeomorphism  $\overline{\text{proj}}_1|_{F(N)} : F(N) \rightarrow N$ , we may identify  $f^* E$  with the bundle  $\tilde{E}|_{F(N)}$  as in the last step of “Construction 2” of  $f^* E$ . Since  $F(N)$  is a submanifold of  $N \times M$ , the section  $s$  may be differentiated using  $\tilde{\nabla}$ : at any point of  $(p, f(p)) \in F(N)$ , we extend  $s$  locally to a section of  $\tilde{E}|_U$  on some open neighborhood  $U$  of  $(p, f(p))$ , and use  $\tilde{\nabla}$  to covariantly differentiate  $\tilde{s}$  in directions tangent to  $F(N)$ ; the result is independent of the choice of extension. (*Exercise*: check this “independent of choice of extension” property for a connection on a general vector bundle  $E' \rightarrow M'$  and a submanifold  $Z \subset M'$ .)

Thus, for  $p \in N$  and  $X_p \in T_p N$ , we can unambiguously define

$$\begin{aligned} (f^* \nabla)_{X_p} s &:= \tilde{\nabla}_{F_* X_p} s \\ &:= \tilde{\nabla}_{(X_p, f_* X_p)} \tilde{s} \quad (\text{where } \tilde{s} \text{ is any local extension of } s \\ &\quad \text{to a nbhd of } (p, f(p)) \text{ in } N \times M) \\ &:= X_p(\iota_{f(p)}^* \tilde{s}) + \nabla_{f_* X_p}(j_p^* \tilde{s}) \quad (\text{by (3.7)}). \end{aligned}$$

Allowing  $p$  to vary, we then obtain a map  $f^* \nabla : \Gamma(TN) \times \Gamma(f^* E) \rightarrow \Gamma(f^* E)$ .

**Exercise 3.2** Check that  $f^* \nabla$  is a connection on  $f^* E$ .

**Definition 3.3** The connection  $f^* \nabla$  on  $f^* E$  is the *pullback*, by  $f$ , of the connection  $\nabla$  on  $E$ .